



BENHA UNIVERSITY  
FACULTY OF ENGINEERING AT SHOUBRA

**Post-Graduate**  
**ECE-601**  
**Active Circuits**

**Lecture #2**  
**Scattering Matrices**

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# Agenda

- Introduction
- Microwave Network Analysis
- Scattering Matrix Representations
- Signal Flow Graphs

# INTRODUCTION



# Introduction

- Circuits operating at **low frequencies** can be treated as an interconnection of lumped passive or active components with **unique voltages and currents** defined at any point in the circuit.
- In this situation the **circuit dimensions** are **small** enough such that there is **negligible phase delay** from one point in the circuit to another.
- In addition, the **fields** can be considered as **TEM fields** supported by two or more conductors.
- This leads to a **quasi-static type of solution to Maxwell's** equations and to the well-known Kirchhoff voltage and current laws and impedance concepts of circuit theory .
- In general, the network analyzing **techniques cannot be directly applied to microwave circuits**, but the basic circuit and network concepts can be extended to handle many microwave analysis and design problems of practical interest.



# MICROWAVE NETWORK ANALYSIS



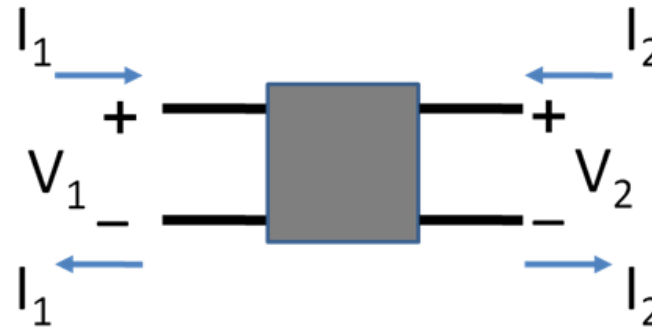
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# Famous Matrices for Network Analysis

- Impedance (Z) Matrix
- Admittance (Y) Matrix
- Scattering (S) Matrix (*focus of the lecture*)
- Transmission (ABCD) Matrix
  
- Hybrid (h)
- Inverse hybrid (g)
- Scattering Transfer (T)

# Matrices for 2-Port Network

A two-port network (a kind of four-terminal network or quadripole):  
is an electrical network (circuit) or device with two pairs of terminals to connect to external circuits.



## General Properties:

**Reciprocal networks:** A network is said to be reciprocal if the voltage appearing at port 2 due to a current applied at port 1 is the same as the voltage appearing at port 1 when the same current is applied to port 2.

**Symmetrical networks:** A network is symmetrical if its input impedance is equal to its output impedance.

**Lossless network:** A lossless network is one which contains no resistors or other dissipative elements.

# Matrices Overview

- Z-Matrix

$$\begin{bmatrix} V_1 \\ V_2 \end{bmatrix} = \begin{bmatrix} z_{11} & z_{12} \\ z_{21} & z_{22} \end{bmatrix} \begin{bmatrix} I_1 \\ I_2 \end{bmatrix}$$

where

$$z_{11} \stackrel{\text{def}}{=} \left. \frac{V_1}{I_1} \right|_{I_2=0} \quad z_{12} \stackrel{\text{def}}{=} \left. \frac{V_1}{I_2} \right|_{I_1=0}$$

$$z_{21} \stackrel{\text{def}}{=} \left. \frac{V_2}{I_1} \right|_{I_2=0} \quad z_{22} \stackrel{\text{def}}{=} \left. \frac{V_2}{I_2} \right|_{I_1=0}$$

- Y-Matrix

$$\begin{bmatrix} I_1 \\ I_2 \end{bmatrix} = \begin{bmatrix} y_{11} & y_{12} \\ y_{21} & y_{22} \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \end{bmatrix}$$

where

$$y_{11} \stackrel{\text{def}}{=} \left. \frac{I_1}{V_1} \right|_{V_2=0} \quad y_{12} \stackrel{\text{def}}{=} \left. \frac{I_1}{V_2} \right|_{V_1=0}$$

$$y_{21} \stackrel{\text{def}}{=} \left. \frac{I_2}{V_1} \right|_{V_2=0} \quad y_{22} \stackrel{\text{def}}{=} \left. \frac{I_2}{V_2} \right|_{V_1=0}$$

- ABCD-Matrix

$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} V_2 \\ -I_2 \end{bmatrix}$$

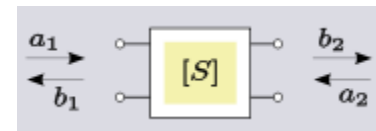
$$\begin{bmatrix} V_2 \\ I_2' \end{bmatrix} = \begin{bmatrix} A' & B' \\ C' & D' \end{bmatrix} \begin{bmatrix} V_1 \\ I_1 \end{bmatrix}$$

where

$$A' \stackrel{\text{def}}{=} \left. \frac{V_2}{V_1} \right|_{I_1=0} \quad B' \stackrel{\text{def}}{=} \left. \frac{V_2}{I_1} \right|_{V_1=0}$$

$$C' \stackrel{\text{def}}{=} \left. -\frac{I_2}{V_1} \right|_{I_1=0} \quad D' \stackrel{\text{def}}{=} \left. -\frac{I_2}{I_1} \right|_{V_1=0}$$

- S-Matrix



$$\begin{bmatrix} b_1 \\ b_2 \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix}$$



# Z&Y Matrices General view

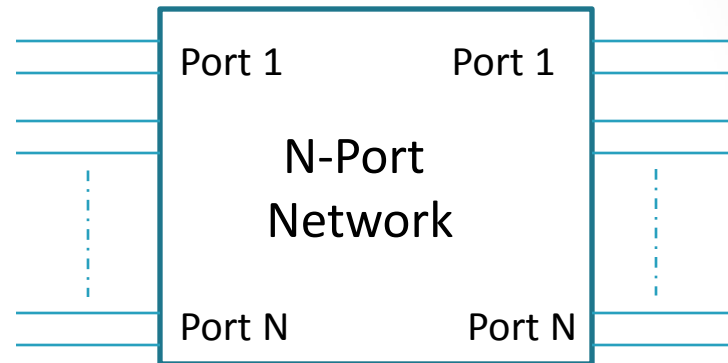
- Impedance

characteristic impedance of the medium  $\eta = \sqrt{\frac{\mu}{\epsilon}}$

wave impedance of the particular mode of wave  $Z_w = \frac{E_t^+}{H_t^+}$

characteristic impedance of the line  $Z_o = \frac{V^+}{I^+}$

input impedance at a port of circuit  $Z_m(z) = \frac{V(z)}{I(z)}$



- Z-Matrix

$$[V] = [Z] [I], \quad \begin{bmatrix} V_1 \\ V_2 \\ \bullet \\ \bullet \\ V_N \end{bmatrix} = \begin{bmatrix} Z_{11} & Z_{12} & \bullet & \bullet & Z_{1N} \\ Z_{21} & \bullet & \bullet & \bullet & Z_{2N} \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ Z_{N1} & Z_{N2} & \bullet & \bullet & Z_{NN} \end{bmatrix} \begin{bmatrix} I_1 \\ I_2 \\ \bullet \\ \bullet \\ I_N \end{bmatrix}, \quad Z_{ij} = \left. \frac{V_i}{I_j} \right|_{I_k=0, k \neq j} = \frac{\text{response}_i}{\text{source}_j} \Big|_{I_k=0, k \neq j}$$

- Y-Matrix

$$[I] = [Y] [V], \quad \begin{bmatrix} I_1 \\ I_2 \\ \bullet \\ \bullet \\ I_N \end{bmatrix} = \begin{bmatrix} Y_{11} & Y_{12} & \bullet & \bullet & Y_{1N} \\ Y_{21} & \bullet & \bullet & \bullet & Y_{2N} \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ Y_{N1} & Y_{N2} & \bullet & \bullet & Y_{NN} \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \\ \bullet \\ \bullet \\ V_N \end{bmatrix}, \quad Y_{ij} = \left. \frac{I_i}{V_j} \right|_{V_k=0, k \neq j} = \frac{\text{response}_i}{\text{source}_j} \Big|_{V_k=0, k \neq j}$$

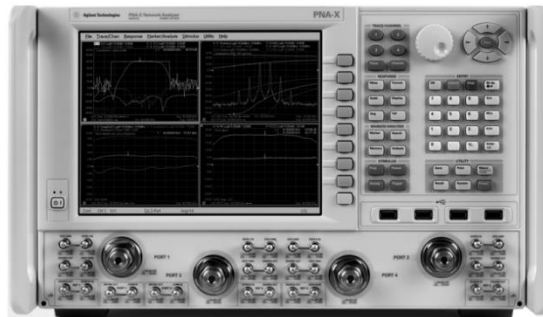
# SCATTERING MATRIX REPRESENTATIONS



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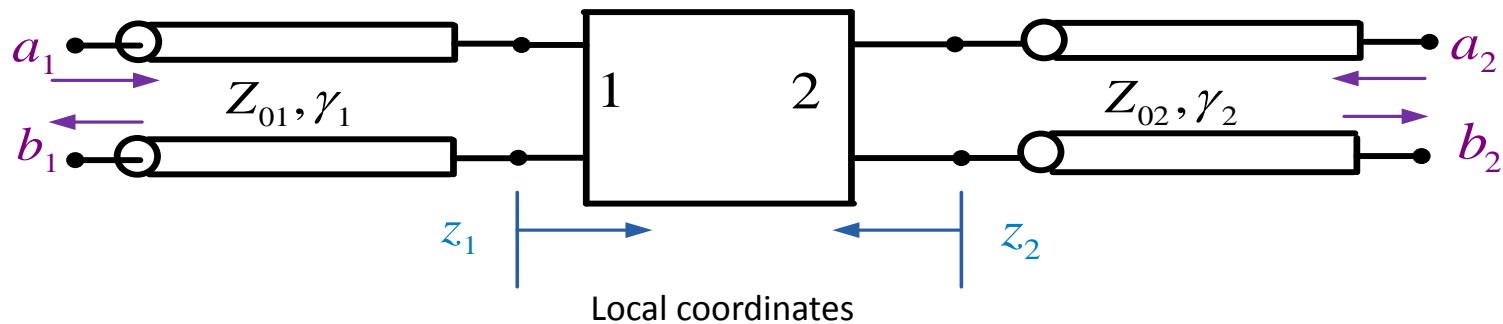
# Motivation

- At high frequencies,  $Z$ ,  $Y$ ,  $h$  & ABCD parameters are difficult (if not impossible) to measure.
  - $V$  and  $I$  are not uniquely defined
  - Even if defined,  $V$  and  $I$  are extremely difficult to measure (particularly  $I$ ).
  - Required open and short-circuit conditions are often difficult to achieve.
  - In other words, direct measurements can't be done since all are EM waves at high frequencies
- Scattering ( $S$ ) parameters are often the best representation for multi-port networks at high frequency.
- We can directly measure reflected, transmitted and incident wave with a network analyzer.
- Once the parameters are known they can be converted to any other matrix parameters.



# Scattering Parameters

*S*-parameters are defined assuming transmission lines are connected to each port.



On each transmission line:

$$V_i(z_i) = V_{i0}^+ e^{-\gamma_i z_i} + V_{i0}^- e^{+\gamma_i z_i} = V_i^+(z_i) + V_i^-(z_i)$$

$$I_i(z_i) = \frac{V_i^+(z_i)}{Z_{0i}} - \frac{V_i^-(z_i)}{Z_{0i}} \quad i = 1, 2$$

Incoming wave function  $\equiv a_i(z_i) \equiv V_i^+(z_i) / \sqrt{Z_{0i}}$

Outgoing wave function  $\equiv b_i(z_i) \equiv V_i^-(z_i) / \sqrt{Z_{0i}}$

# One Port N/w

- Reflection Coefficient

$$\Gamma_L = \frac{V_1^-(0) / \sqrt{Z_{01}}}{V_1^+(0) / \sqrt{Z_{01}}}$$

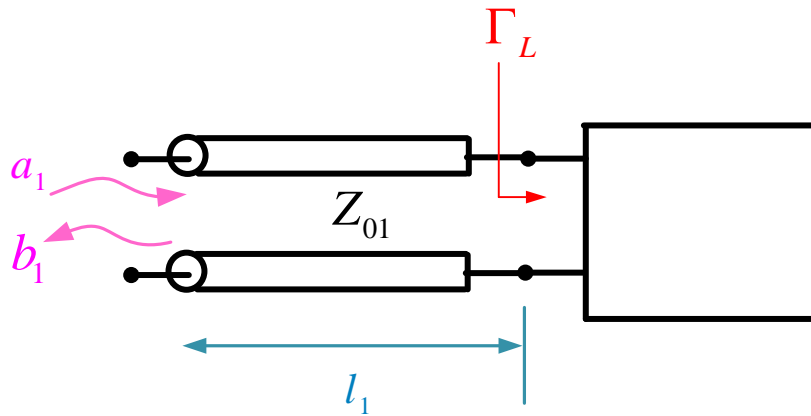
$$= \frac{b_1(0)}{a_1(0)}$$

$$= S_{11}$$

$$\Rightarrow b_1(0) = \Gamma_L a_1(0)$$

$$= S_{11} a_1(0)$$

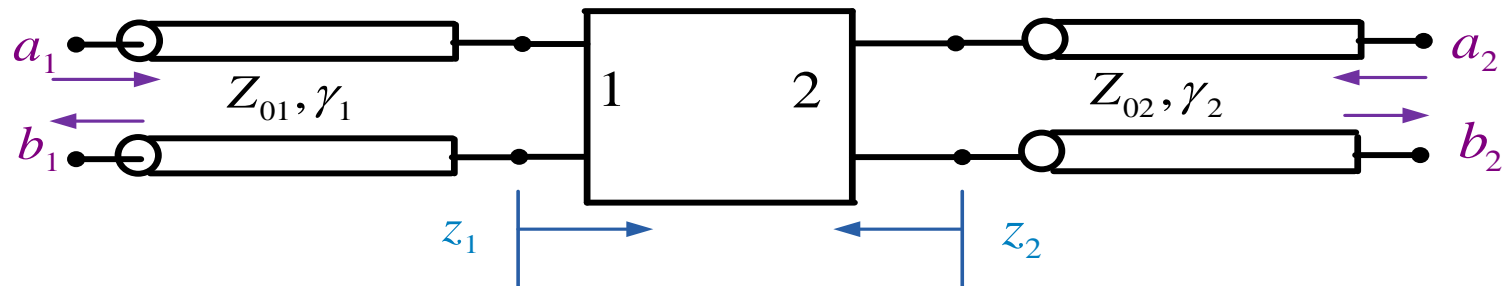
For a one-port network,  $S_{11}$  is defined to be the same as  $\Gamma_L$ .



- Return loss

$$RL = -20 \log |\Gamma|$$

# For a Two-Port Network



$$b_1(0) = S_{11}a_1(0) + S_{12}a_2(0)$$

$$b_2(0) = S_{21}a_1(0) + S_{22}a_2(0)$$

$$\Rightarrow \begin{bmatrix} b_1(0) \\ b_2(0) \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} a_1(0) \\ a_2(0) \end{bmatrix} \Rightarrow [b] = [S][a]$$

Scattering  
matrix

# Scattering Parameters

$$S_{11} = \left. \frac{b_1(0)}{a_1(0)} \right|_{a_2=0}$$

Output is matched ← input reflection coef. w/ output matched

$$S_{12} = \left. \frac{b_1(0)}{a_2(0)} \right|_{a_1=0}$$

Input is matched ← reverse transmission coef. w/ input matched

$$S_{21} = \left. \frac{b_2(0)}{a_1(0)} \right|_{a_2=0}$$

Output is matched ← forward transmission coef. w/ output matched

$$S_{22} = \left. \frac{b_2(0)}{a_2(0)} \right|_{a_1=0}$$

Input is matched ← output reflection coef. w/ input matched

For a general multiport network:

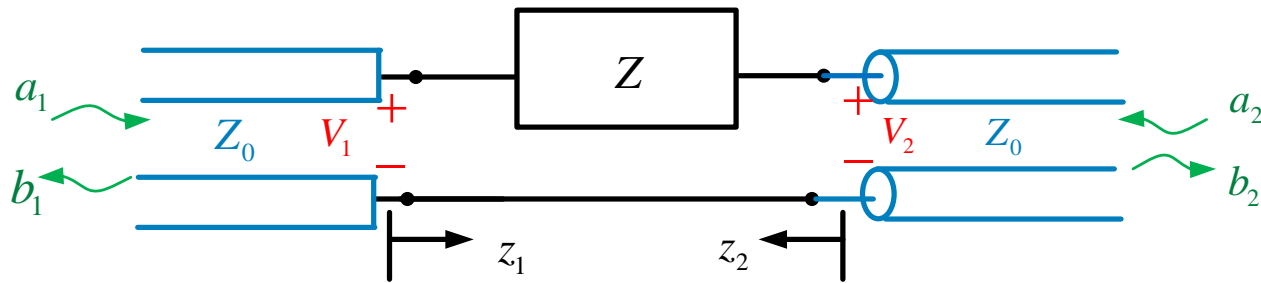
$$S_{ij} = \left. \frac{b_i(0)}{a_j(0)} \right|_{a_k=0 \quad k \neq j}$$

All ports except  $j$  are semi-infinite (or matched)

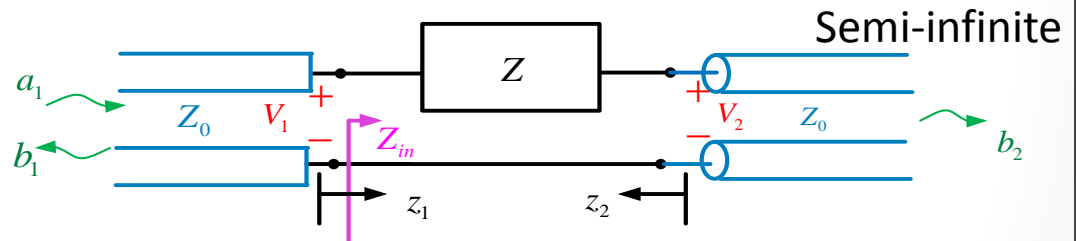


# Example 1

Find the  $S$  parameters for a series impedance  $Z$ .



$S_{11}$  Calculation:



$$S_{11} = \left. \frac{b_1(0)}{a_1(0)} \right|_{a_2=0} = \frac{V_1^-(0)}{V_1^+(0)} = \left. \frac{Z_{in} - Z_0}{Z_{in} + Z_0} \right|_{a_2=0} = \frac{(Z + Z_0) - Z_0}{(Z + Z_0) + Z_0}$$

$$S_{11} = \frac{Z}{Z + 2Z_0}$$

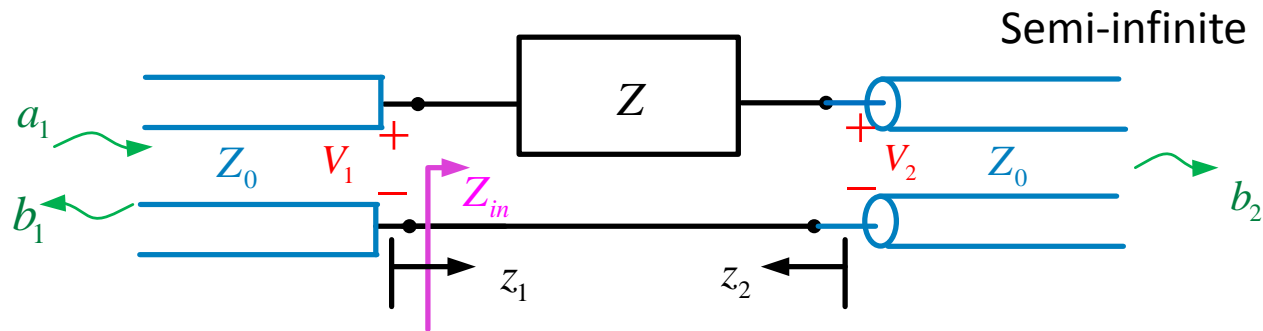
By symmetry:

$$S_{22} = S_{11}$$



# Example 1..

$S_{21}$  Calculation:



$$S_{21} = \left. \frac{b_2(0)}{a_1(0)} \right|_{a_2=0}$$

$$= \left. \frac{V_2^-(0)}{V_1^+(0)} \right|_{a_2=0}$$

$$V_1^+(0) = a_1(0)\sqrt{Z_0}$$

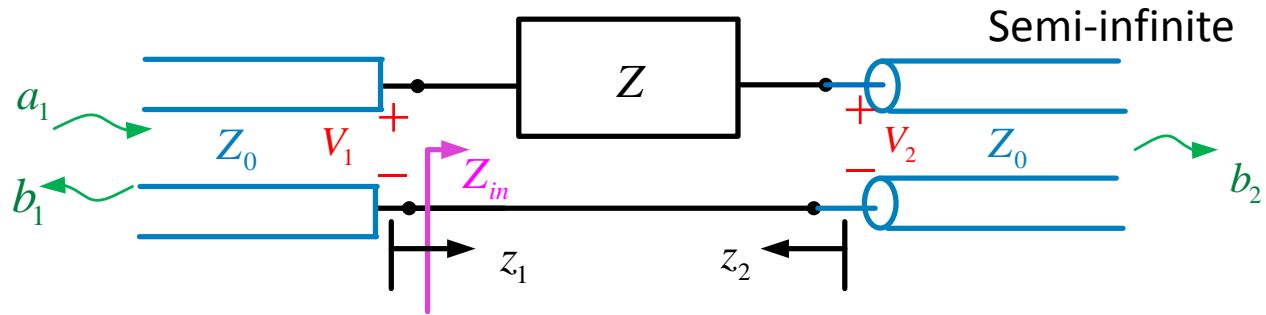
$$a_2 = 0 \Rightarrow V_2^-(0) = V_2(0)$$

$$V_2(0) = V_1(0) \left( \frac{Z_0}{Z + Z_0} \right)$$

$$V_1(0) = a_1\sqrt{Z_0} (1 + S_{11})$$

$$\Rightarrow V_2^-(0) = V_2(0) = a_1\sqrt{Z_0} (1 + S_{11}) \left( \frac{Z_0}{Z + Z_0} \right)$$

# Example 1...



$$S_{21} = \frac{a_1(0)\sqrt{Z_0}(1+S_{11})\left(\frac{Z_0}{Z+Z_0}\right)}{a_1(0)\sqrt{Z_0}}$$

$$= (1+S_{11})\left(\frac{Z_0}{Z+Z_0}\right) = \left(1 + \frac{Z}{Z+2Z_0}\right)\left(\frac{Z_0}{Z+Z_0}\right) = \left(\frac{2Z+2Z_0}{Z+2Z_0}\right)\left(\frac{Z_0}{Z+Z_0}\right)$$

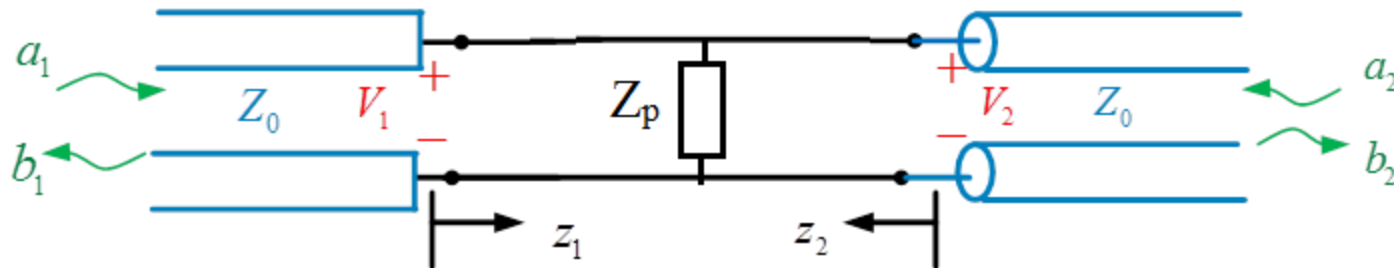
Hence

$$S_{21} = \frac{2Z_0}{Z+2Z_0}$$

$$S_{12} = S_{21}$$

# Example 2

Find the  $S$  parameters for a Parallel impedance  $Z_p$ .



Your turn !

# Properties of the S Matrix

❖ For **reciprocal** networks, the  $S$ -matrix is symmetric.

$$\Rightarrow [S] = [S]^T$$

❖ For **lossless** networks, the  $S$ -matrix is unitary.

$$\Rightarrow [S]^T [S]^* = [S]^* [S]^T = [U]$$

Identity matrix

Notation:  $[S]^\dagger = [S]^H = [S]^{T*}$

so  $[S]^\dagger = [S]^{-1}$

Equivalently,

$$[S]^{T*} = [S]^{-1}$$

Note :

If  $[A][B] = [U]$

then

$[B][A] = [U]$



# Power Waves

- total voltage and current on a transmission line in terms of the incident and reflected voltage wave amplitudes

$$\begin{aligned} V &= V_0^+ + V_0^-, \\ I &= \frac{1}{Z_0} (V_0^+ - V_0^-), \end{aligned} \quad \longrightarrow$$

- the incident and reflected voltage wave amplitudes in terms of the total voltage and current

$$\begin{aligned} V_0^+ &= \frac{V + Z_0 I}{2}, \\ V_0^- &= \frac{V - Z_0 I}{2}. \end{aligned}$$

- The average power delivered to a load

$$\begin{aligned} P_L &= \frac{1}{2} \operatorname{Re} \{ V I^* \} = \frac{1}{2Z_0} \operatorname{Re} \left\{ |V_0^+|^2 - V_0^+ V_0^{-*} + V_0^{+*} V_0^- - |V_0^-|^2 \right\} \\ &= \frac{1}{2Z_0} (|V_0^+|^2 - |V_0^-|^2), \\ &= \frac{1}{2} |a|^2 - \frac{1}{2} |b|^2, \end{aligned}$$

- The reflection coefficient for the reflected power wave

$$\Gamma_P = \frac{b}{a} = \frac{V - Z_R^* I}{V + Z_R I} = \frac{Z_L - Z_R^*}{Z_L + Z_R}.$$

$Z_R$  : reference impedance

$Z_L$  : load impedance

# SIGNAL FLOW GRAPH

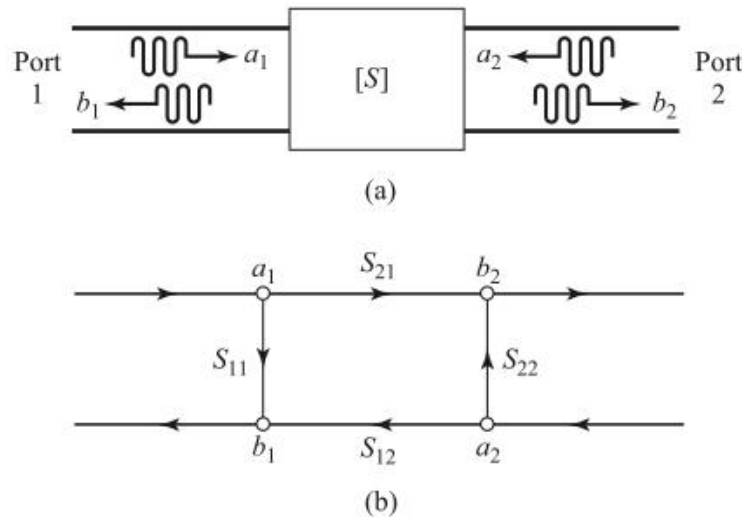


# 2-Port Representation

Components of a signal flow graph are nodes and branches:

**Nodes:** Each port  $i$  of a microwave network has two nodes,  $a_i$  and  $b_i$ . Node  $a_i$  is identified with a wave entering port  $i$ , while node  $b_i$  is identified with a wave reflected from port  $i$ . The voltage at a node is equal to the sum of all signals entering that node.

**Branches:** A branch is a directed path between two nodes representing signal flow from one node to another. Every branch has an associated scattering parameter or reflection coefficient.



The signal flow graph representation of a two-port network. (a) Definition of incident and reflected waves. (b) Signal flow graph.

$$\begin{bmatrix} b_1 \\ b_2 \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} \quad \begin{aligned} b_1 &= a_1 S_{11} + a_2 S_{12} \\ b_2 &= a_1 S_{21} + a_2 S_{22} \end{aligned}$$

$$RL \text{ at port 1: } -20 \log \left| \frac{b_1}{a_1} \right| = -20 \log |S_{11}|$$

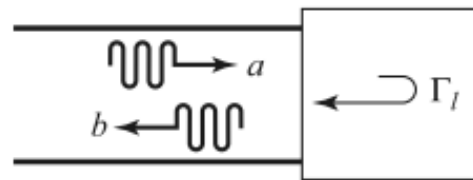
$$IL \text{ from port 1 to port 2: } -20 \log \left| \frac{b_2}{a_1} \right| = -20 \log |S_{21}|$$

# Special Networks

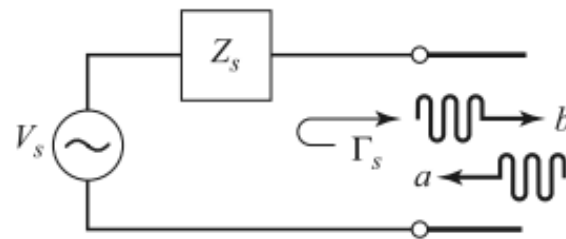
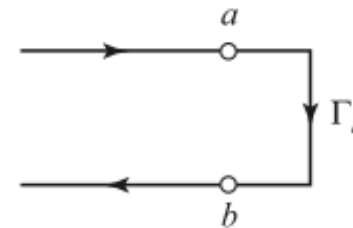
(a) One Port Network

(b) Source Representation

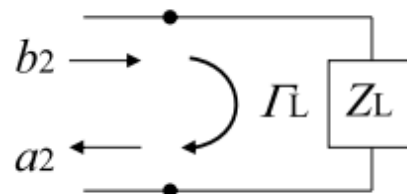
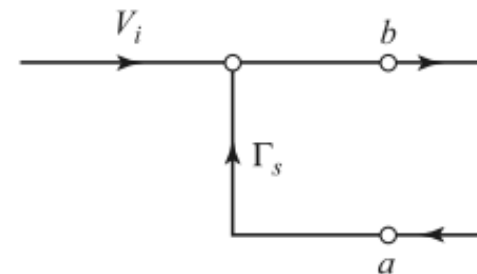
(c) Load Representation



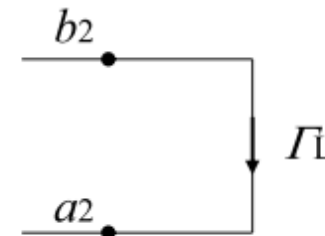
(a)



(b)



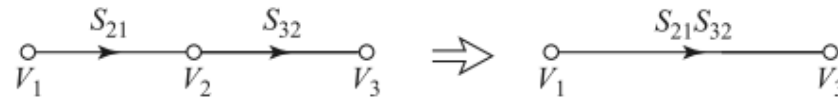
(c)





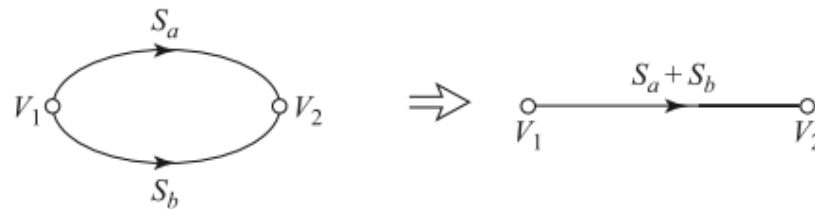
# Decomposition Rules

- (a) Series rule.
- (b) Parallel rule.
- (c) Self-loop rule.
- (d) Splitting rule.



(a)

$$V_3 = S_{32}V_2 = S_{32}S_{21}V_1.$$



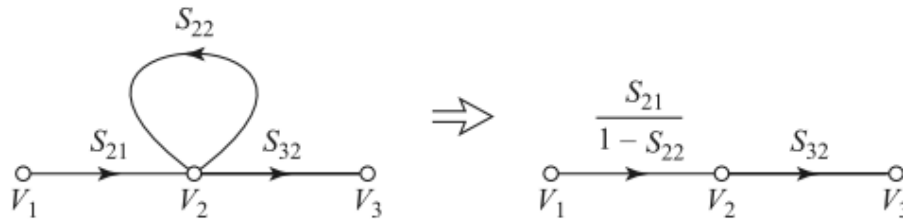
(b)

$$V_2 = S_aV_1 + S_bV_1 = (S_a + S_b)V_1.$$

$$V_2 = S_{21}V_1 + S_{22}V_2,$$

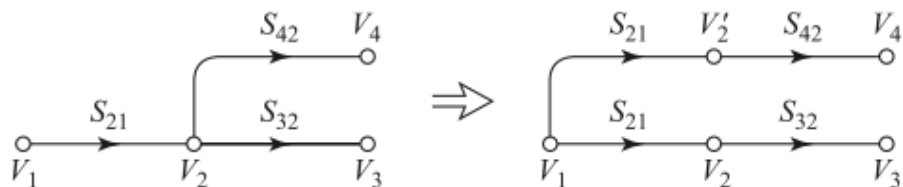
$$V_3 = S_{32}V_2.$$

$$V_3 = \frac{S_{32}S_{21}}{1 - S_{22}}V_1,$$



(c)

$$V_4 = S_{42}V_2 = S_{21}S_{42}V_1$$

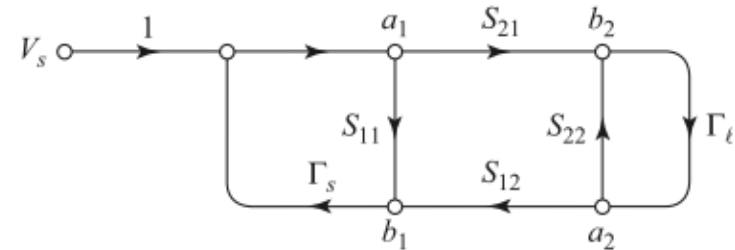
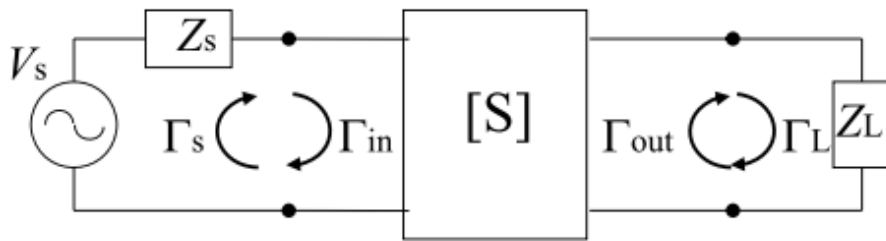


(d)

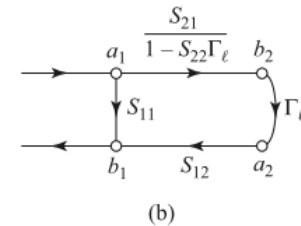
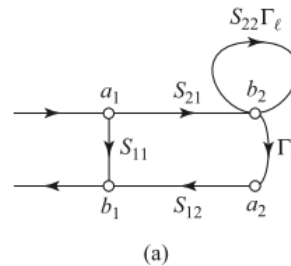


# Application

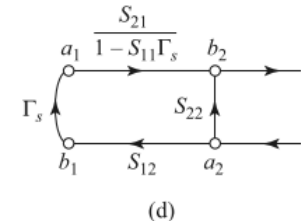
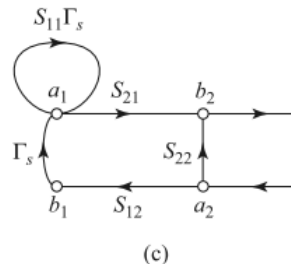
Use signal flow graphs to derive expressions for  $\Gamma_{in}$  and  $\Gamma_{out}$  for the shown microwave network



$$\Gamma_{in} = \frac{b_1}{a_1} = S_{11} + \frac{S_{12}S_{21}\Gamma_{\ell}}{1 - S_{22}\Gamma_{\ell}}$$



$$\Gamma_{out} = \frac{b_2}{a_2} = S_{22} + \frac{S_{12}S_{21}\Gamma_s}{1 - S_{11}\Gamma_s}$$



**FIGURE 4.19** Decompositions of the flow graph of Figure 4.18 to find  $\Gamma_{in} = b_1/a_1$  and  $\Gamma_{out} = b_2/a_2$ . (a) Using Rule 4 on node  $a_2$ . (b) Using Rule 3 for the self-loop at node  $b_2$ . (c) Using Rule 4 on node  $b_1$ . (d) Using Rule 3 for the self-loop at node  $a_1$ .



- For more details, refer to:
  - Chapters 4, Microwave Engineering, David Pozar\_4ed.
- The lecture is available online at:
  - <http://bu.edu.eg/staff/ahmad.elbanna-courses/11983>
- For inquiries, send to:
  - [ahmad.elbanna@fes.bu.edu.eg](mailto:ahmad.elbanna@fes.bu.edu.eg)